

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

*sector*  
Docket No.  
**HIR-139**

In Re Application Of: **KATAYAMA et al.**

Serial No.  
**09/931,193**

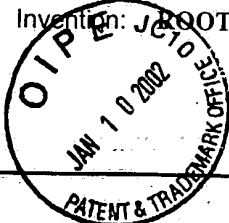
Filing Date  
**08/15/2001**

Examiner

Group Art Unit

Invention: **ROOTS-INDUCING AGENT OF PLANTS AND ITS TREATMENT METHOD**

**COPY OF PAPERS  
ORIGINALLY FILED**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
09/28/2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

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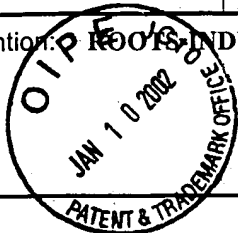
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☒ Completion of application fees as calculated below:

☒ Utility application filing fee

**\$740.00**

☐ Design application filing fee

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath

**\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$870.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month

☐ Two months

☐ Three months

☐ Four months

☐ Five months

from: \_\_\_\_\_

Date

until: \_\_\_\_\_

Date

Total time extension fees \_\_\_\_\_

Total fees due **\$870.00**

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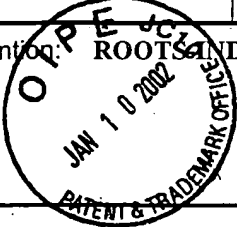
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The fee of **\$870.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **12-2147**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **12-2147**  
A duplicate copy of this sheet is enclosed.

A handwritten signature in black ink, appearing to be "Anthony M. Lorusso".

*Signature*

**Anthony M. Lorusso    Reg. No.: 25,059  
Lorusso & Loud  
440 Commercial Street  
Boston, MA 02109**

**Phone: 617-227-0700  
Fax: 617-723-4609**

**Dated: 11/27/2001**

I certify that this document and fee is being deposited on **11/27/2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

A handwritten signature in black ink, appearing to be "Marc A. Vivenzio".

*Signature of Person Mailing Correspondence*

**Marc A. Vivenzio**

*Typed or Printed Name of Person Mailing Correspondence*

cc:

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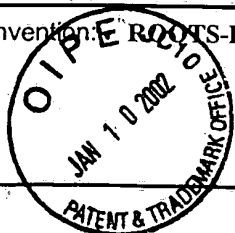
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A duplicate copy of this sheet is enclosed.

- The statutory basic filing fee is not applicable and, under 37 C.F.R. 1.20(c), may make a written declaration of 37 C.F.R. 1.27.
- The oath or declaration is unsigned.
- To avoid the additional, extra charge of \$180 for a non-small entity, the fee balance due by applicant is

Signature

Dated: **11/27/2001**

**Anthony M. Lorusso** Reg. No.: **25,059**  
**Lorusso & Loud**  
**440 Commercial Street**  
**Boston, MA 02109**

Ph ne: **617-227-0700**  
Fax: **617-723-4609**

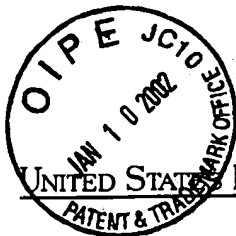
I certify that this document and fee is being deposited on **11/27/2001** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

**Marc A. Vivenzi**

Typed or Printed Name of Person Mailing Correspondence

CC:



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Page 1 of 1

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/931,193	08/15/2001	Masato Katayama	HIR-139

CONFIRMATION NO. 1385

FORMALITIES LETTER



\*OC000000006810830\*

Lorusso & Loud  
440 Commercial Street  
Boston, MA 02109

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/15/2002 HND0R1 00000069 09931193  
01 FC:101  
02 FC:105  
740.00 OP  
130.00 OP